

I. INTRODUCTION

provides that the conduct, products, and results of fundamental research are to proceed largely unfettered by deemed export restrictions. Research that carries access, participation, or dissemination restrictions **will not** qualify for the fundamental research exemption.

B. Export Control/Trade Sanctions Policy

The Policy of the University is that all personnel, including faculty, staff, visiting scholars/scientists, postdoctoral fellows, students, volunteers, and

dissemination restrictions will not qualify as fundamental research under the exemption. Thus, to the maximum extent possible all research results should be widely and openly published and made available to the academic community in order to qualify for the Fundamental Research Exemption from Export Controls.

It is important to note that while the **results** of fundamental research are exempted from Export Controls, the tangible item, technology, or software under study is not automatically exempt and may be subject to Export Controls.

III. PROCESS OVERVIEW

A. Program

To ensure compliance with these regulations, the University has an Export Control Program that provides Policies and Procedures for personnel and departments to use to identify potential issues and comply with Export Control laws. A copy of this program is available from the Export Control Office and webpage. The Export Control Officer and Office has overall responsibility and authority for this Program. The Export Control laws affect many areas across campus. The management and maintenance of the Program requires the active involvement of many departments including, but not limited to:

1. Academic department administration, faculty, and staff;
2. Office of Research and Sponsored Programs;
3. Office of Internationalization;
4. Human Resources and Inclusive Community;
5. ;
6. Office of General Counsel;
7. University Financial Services;
8. Office of Office of Intellectual Property and Technology Transfer;
and
9. Information Technology.

B. Responsibilities and Procedures

All University personnel are responsible for being aware of and complying

Program. The Export Control Program includes detailed responsibilities and procedures for personnel. The Program also includes a number of screening steps required for exporting or making payments to foreign

C. Resources

1. [Export Control Basics](#) contains additional information on the regulations, definitions and University responsibilities;
2. [Export Control Manual](#);
3. [Export Control Office Webpage](#);
4. Export Control Office; and
5. Executive Director, Research and Export Control Officer.

IV. DEFINITIONS

None

Revision Effective Date	Purpose
<i>07/28/2021</i>	<i>Minor revisions</i>
<i>6/27/2022</i>	<i>Minor revision to change references from Shared Services to University Financial Services</i>